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# Amplitude Modulation Perception and Cortical Evoked Potentials in Children With Listening Difficulties and Their Typically Developing Peers

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## 33 Abstract

Purpose: Amplitude modulations (AM) are important for speech intelligibility, and deficits in speech 34 intelligibility are a leading source of impairment in childhood listening difficulties (LiD). The present 35 36 study aimed to explore the relationships between AM perception and speech-in-noise (SiN) 37 comprehension in children and to determine whether deficits in AM processing contribute to childhood LiD. Evoked responses were used to parse the neural origin of AM processing with respect to sensory, 38 39 perceptual, and cognitive stages of processing. 40 Method: Forty-one children with LiD and forty-four typically-developing children, ages 8-16 y.o., 41 participated in the study. Behavioral AM depth thresholds were measured at 4 and 40 Hz. SiN tasks 42 included the LiSN-S and a Coordinate Response Measure (CRM)-based task. Evoked responses were 43 obtained during an AM Change detection task using alternations between 4 and 40 Hz, including the N1 44 of the acoustic change complex, auditory steady-state response (ASSR), P300, and a late positive 45 response in the P300 latency range. Maturational effects were explored via correlations with age. **Results:** Age correlated with 4 Hz AM thresholds, Separated Talker scores on the CRM-based task, and 46 47 N1 amplitude. LiSN-S scores obtained without spatial or talker cues correlated with 4 Hz AM thresholds 48 and the area of the late potential. Separated Talker CRM-based scores correlated with both AM thresholds 49 and the area of the late potential. Most behavioral measures of AM perception (4 Hz thresholds, AM 50 Change accuracy and RTs) correlated with the SNR and phase coherence of the 40 Hz ASSR. AM 51 Change RT also correlated with late potential area. Children with LiD exhibited deficits with respect to 4 52 Hz thresholds, AM Change accuracy, and the area of the late potential. 53 Conclusions: The observed relationships between AM perception and SiN performance support and 54 extend the evidence showing that modulation perception is an important ability for understanding SiN in childhood. The influence of age could not account for these findings. In line with the relevance of AM 55 56 processing for understanding SiN, children with LiD demonstrated poorer performance on some measures 57 of AM perception, but their evoked responses implicated a primarily cognitive deficit.

# 58 Introduction

59 Listening difficulties (LiD) have recently assumed a central role in hearing science as an umbrella term for various problems, primarily occurring despite clinically normal audiometry (Dillon & Cameron, 60 61 2021; Moore, 2018). While hearing is a passive process, listening requires selective attention and the interpretation of auditory input, which can be an effortful process even for speech that is clearly audible 62 (Pichora-Fuller et al., 2016). Thus, listening additionally involves cognitive brain regions, such as the 63 64 dorsal frontoparietal attention network (Corbetta & Shulman, 2002) and the temporo-frontal language 65 network (Friederici, 2011). These top-down mechanisms can impact early stages of perceptual organization including auditory scene segregation (Elhilali et al., 2009) and perceptual grouping (Davis & 66 Johnsrude, 2007). In sum, listening is considerably more demanding than hearing. The present study 67 explores the interactions between sensation, perception, and cognition that might contribute to LiD. 68 69 About half of the adult patients who seek audiological assessment, estimated at 40 million in the USA alone (Edwards, 2020), present with clinically normal audiograms and LiD of enigmatic origin. LiD 70 71 commonly involves reduced speech intelligibility under challenging listening conditions, such as those 72 involving noisy, rapid, or degraded speech. It encompasses the spectrum of speech perception deficits that 73 can be experienced by both children and adults (Dillon & Cameron, 2021). LiD is related to the clinical 74 construct of auditory processing disorder (APD). However, inconsistencies in the diagnosis of APD have provoked debate regarding whether APD should be used as a diagnostic label (Moore, 2018; Wilson & 75 76 Arnott, 2013). Many position statements endorse the view that APD arises from disturbed central auditory 77 processing (i.e., abnormal processing at some level of the central auditory nervous system, Moore et al., 2013). There is reason to question the validity of that assertion. For example, several recent studies with 78 79 pediatric and adolescent samples have highlighted cognitive deficits as major contributing factors in LiD 80 (e.g., McGrath et al., 2023; Pascoinelli et al., 2021; Petley et al., 2021).

Physiological evoked responses have long been endorsed for use in the clinical assessment of
APD (Jerger & Musiek, 2000). They can provide valuable insight into the stages of processing that lead

83 from auditory sensation to perception, including the influence of cognition (Joos et al., 2014). For 84 example, responses like the auditory N1 and the auditory steady state response (ASSR) are largely sensory, with source generators in the central auditory nervous system (CANS), though modulation by 85 selective attention is possible (Hillyard et al., 1973; D.-W. Kim et al., 2011; Skosnik et al., 2007; Talsma 86 87 & Woldorff, 2005). By contrast, positive event-related potentials (ERPs) arising approximately 300 ms 88 from stimulus onset are linked to attention. The most extensively studied among them is the P300, which 89 is evoked by target stimuli and is not observed under conditions of inattention (Duncan et al., 2009; 90 Polich, 2007). P300 is a cognitive response; it is neither restricted to auditory stimuli nor generated in the 91 CANS. Its neural sources are poorly understood but, consistent with its link to selective attention, it has been attributed to a network of frontal and temporal/parietal regions (Polich, 2007). 92 93 In the context of LiD, evoked responses could be applied to study any perceptual skill that 94 supports speech comprehension under adverse listening conditions. One crucial skill for speech 95 perception is the ability to accurately perceive amplitude modulations (AM). The importance of AM for 96 speech intelligibility is well-established (Rosen, 1992; Shannon et al., 1995). Analyses of the temporal 97 modulation rate of speech across different languages (such as American English, Chinese, and Swedish) 98 have revealed remarkable similarities in their modulation rates, which generally lie between 2 and 10 Hz 99 (Ding et al., 2017), but can extend up to about 50 Hz (Rosen, 1992). 100 The temporal modulations of speech are also powerfully related to its intelligibility under

101 challenging listening conditions. For example, envelope periodicity is a major contributor to speech 102 intelligibility in the presence of a competing talker (Christiansen et al., 2013). Indeed, with modulated 103 maskers like natural speech, the envelope of the masker is itself an important factor, since periods of low 104 masker energy provide opportunities for "glimpsing" the target (Festen & Plomp, 1990; Gnansia et al., 2008). Furthermore, electrophysiological measurements suggest that phase-locking of neural oscillations 105 106 to the amplitude envelope of speech is a crucial mechanism for biasing cortical processing towards the 107 attended stream (Horton et al., 2013). Thus, there is ample evidence that accurate neural representations of AM provide numerous benefits for speech perception, both in quiet and in the presence of noise. 108

109 Current theories of how AM is encoded and represented in the auditory system involve both 110 peripheral and central mechanisms. Models of the earliest stages of processing, in the cochlea and cochlear nucleus, decompose acoustic stimuli into half-wave rectified, compressed, and low-pass filtered 111 112 narrowband signals (Viemeister, 1979; Yang et al., 1992). AM is well-represented in the CANS, all the 113 way to primary auditory cortex (Joris et al., 2004), but thresholds for their detection improve over 114 childhood (Cabrera et al., 2022; Hall & Grose, 1994; Talarico et al., 2007). Consistent with the idea that 115 deficits in modulation perception might be involved in APD, a recent study by Lotfi and colleagues 116 (2020) demonstrated elevated thresholds for detecting spectrotemporal modulation in children with APD 117 across several temporal modulation rates and spectral modulation densities (Lotfi et al., 2020). Neural synchronization to AM sounds can be efficiently measured via the ASSR, which is readily 118 evoked in children and infants and has been used to study the neural mechanisms of conditions related to 119 120 LiD, such as dyslexia (De Vos et al., 2020). The ASSR is modulated by the audibility of stimuli with 121 sufficient reliability that it is effective for measuring audiometric thresholds (Luts et al., 2004). Thus, it is an effective index of sensory processing for AM stimuli. Like AM perception, the ASSR changes over 122 123 childhood and adolescence, particularly with respect to its amplitude at 40 Hz, which reaches its 124 maximum in early adulthood (Aoyagi et al., 1993; Cho et al., 2015; Rojas et al., 2006). Another evoked 125 response that is valuable in the study of perception is the acoustic change complex (ACC). The ACC is a 126 transient response to a change in a continuous stimulus, such as a change in tonal frequency or intensity, 127 which is composed of an N1 and subsequent P2 components (Martin & Boothroyd, 2000). Unlike the N1 and P2 that are evoked by stimulus onsets, the ACC is correlated with psychometric discrimination 128 129 thresholds, and has been proposed as an objective index for their measurement (He et al., 2012; J.-R. Kim, 2015). 130 131 The N1 component of the ACC that is evoked by changes in AM may reflect the temporal 132 resolution of AM perception (Han & Dimitrijevic, 2015). Elevated AM detection thresholds and smaller,

133 later N1 components to AM change have also been observed in adults with cochlear implants relative to

typically-hearing controls, suggesting that the ACC to changes in AM rate may be a useful index of

speech perception abilities (Han & Dimitrijevic, 2020). While this research suggests that the ACC to changes in AM rate may be valuable for the study of clinical populations with speech perception deficits, there is a paucity of research demonstrating links between measures of AM and speech perception in children (for examples, see Cabrera et al., 2019; Lotfi et al., 2020), particularly for continuous speech. It is also unknown whether other evoked responses that can be measured using this stimulation protocol, notably the ASSR, vary systematically with AM thresholds in pediatric populations.

The goals of this study were to investigate whether (1) there are relationships between measures 141 of AM and SiN perception in children, (2) evoked responses to AM stimuli correlate with behavioral 142 143 measures of AM perception in children, and (3) deficits in AM perception are present in children with LiD, as reflected by impaired performance on AM tasks and differences in evoked responses to AM 144 stimuli. Since late childhood and adolescence are periods of considerable development with respect to 145 146 auditory perceptual skills (Lopez-Poveda, 2014; Moore et al., 2008), goals (1) and (2) were pursued 147 following examinations of the influence of age, and goal (3) was addressed using age-matched groups to focus on the underlying mechanisms of LiD. 148

149 Method

#### 150 **Participants**

Forty-one children with LiD (8.1 - 15.5 years of age) and forty-four typically developing (TD) 151 152 children (8.6 - 16.8 years of age) participated in this study. Eligibility, recruitment strategies, and testing 153 procedures for these participants were the same as for other reports derived from this research program (Hunter et al., 2020, 2023; D. R. Moore et al., 2020; Petley et al., 2021; Stewart et al., 2022). In brief, the 154 155 requirements included English as a native language, and the absence of any neurological, psychiatric, or 156 intellectual condition that would hinder test completion. Participants in the TD group additionally could not have a diagnosed developmental delay, or an attention or learning disorder. Information regarding 157 158 these inclusion criteria and other characteristics such as health background, and sociodemographics were 159 provided by caregivers via a structured background questionnaire. This study was approved by the

160 Institutional Review Board of Cincinnati Children's Hospital Research Foundation and participants

161 received monetary compensation for their time. Demographics regarding age, sex, race, and maternal

education for the sample used in this report are summarized in Table 1.

163 **Procedures** 

164 The overarching design of the research program was longitudinal, and several other reports have been published using sub-samples of its data to address various cross-sectional (Hunter et al., 2020, 2023; 165 166 D. R. Moore et al., 2020; Petley et al., 2021; Stewart et al., 2022) and longitudinal (Kojima et al., in 167 revision) questions regarding the nature of LiD. Participants in the research program completed a battery 168 of behavioral tests for auditory and cognitive function, as well as neuroimaging using magnetic resonance 169 imaging and EEG. The test battery for the present study, as well as the variables derived from it, is 170 depicted in Figure 1. Due to differences in subject availability and data quality, as well as the requirements for some electrophysiological measurements, not all participants had the necessary data for 171 172 all analyses.

### 173 Audiometry

Audiometric testing was completed for air conduction thresholds at standard octave test frequencies from 0.25 to 8 kHz as well as four extended high frequencies (10, 12.5, 14, and 16 kHz). Participants with elevated thresholds (> 20 dB HL) at the standard frequencies were excluded from the present analysis. As reported elsewhere, children with LiD did not differ from their TD peers on any measure of peripheral auditory function, including pure tone audiometry at standard and extended high frequencies, distortion product and chirp transient evoked otoacoustic emissions, middle ear reflexes, or wideband absorbance tympanometry (Hunter et al., 2020).

#### 181 Caregiver-Reported Listening Difficulties

Caregiver assessments of participants' listening and communication abilities were collected via
 the ECLiPS questionnaire (Barry et al., 2015; Barry & Moore, 2014). The ECLiPS is composed of 38
 items describing commonly observed behaviors related to listening and communication in children. The

questionnaire asks caregivers to rate their degree of agreement with each statement on a five-point Likert scale. Responses on the ECLiPS can be summarized via five subscales, three composite scores, or a total score. These scores are age-scaled and standardized for a population mean of 10 (SD = 3) on the basis of British data (Barry et al., 2015). Inclusion in the LiD group was based on an ECLiPS total scaled score < 7 or a previous diagnosis of APD. Twelve children in the LiD group had a diagnosis of APD. ECLiPS total scaled scores for the LiD and TD samples used for the present report are provided in Table 1.

#### 191 Speech-in-Noise Tasks

192 The Listening in Spatialized Noise - Sentences test (LiSN-S; Brown et al., 2010; Cameron & 193 Dillon, 2007; Phonak/NAL, 2011) permits the assessment of speech comprehension in the presence of 194 informational masking. The U.S. edition of the task was administered using a commercial CD 195 (Phonak/NAL, 2011) using a laptop computer with a task-specific soundcard and Sennheiser HD 215 headphones. The LiSN-S requires participants to repeat target sentences that are presented in the presence 196 197 of speech from two distracting talkers. To evaluate the benefit obtained from different auditory cues, 198 these distracting talkers vary with respect to their voice (same as the target or different) or their location 199 (co-located with the target at  $0^{\circ}$  azimuth or separated at  $90^{\circ}$  azimuth while the target remains at  $0^{\circ}$ ). Spatial locations are simulated via the use of generic head-related transfer functions of Humanski and 200 201 Butler (1988). Three derived scores, called the Talker Advantage, Spatial Advantage, and Total Advantage scores, are obtained through subtraction processes between these conditions. The Talker 202 203 Advantage reflects the improved speech reception threshold (SRT) when distracting talkers have a 204 different voice than the target (co-located same voice vs. co-located different voice). The Spatial Advantage reflects the SRT improvement when the distracting talkers are spatially separated from the 205 206 target (co-located same voice vs. separated same voice). The Total Advantage reflects the SRT reduction 207 when both cues are available (co-located same voice vs. separated different voice). The rationale for these subtraction measures is that they isolate auditory skills from cognitive processes like selective attention 208 209 (Moore & Dillon, 2018).

210 A task designed by Gallun and colleagues (2013) using stimuli from the Coordinate Response 211 Measure (Bolia et al., 2000) was also used to measure auditory thresholds for target speech in the presence of speech maskers (Gallun et al., 2013). This task, referred to as the CRM-based task, was 212 213 delivered via iPad (Apple Inc., Cupertino, CA) using Sennheiser HD 25 headphones while participants 214 were seated in a sound-attenuating audiometric booth or quiet office. For every trial, the participant hears 215 the phrase "Ready Charlie, go to (Color) (Number) now," and is instructed to select the button with the 216 spoken color and number on the iPad. Feedback is provided after every trial. The task includes three 217 different conditions including a Single Talker (no masker; to ensure audibility of the target), Co-Located (target and masker presented at  $0^{\circ}$  azimuth) and Separated Talkers (target at  $0^{\circ}$  azimuth; maskers at  $\pm 45^{\circ}$ 218 azimuth). Talker locations are simulated using generic head-related transfer functions. Performance on 219 220 both masked conditions is expressed as a target-to-masker ratio (TMR).

#### 221 AM Perception Tasks

222 The AM perception tasks used in this study were modeled after the methods of Han and 223 Dimitrijevic (2020), who employed two types of tasks: an AM Threshold task and an AM Change task 224 (Han & Dimitrijevic, 2020). The AM Threshold task, which was used to measure AM detection 225 thresholds, was conducted once for each modulation rate (4 and 40 Hz). The task was implemented as a 226 custom MATLAB script and employed a three-interval forced choice task with trial-by-trial feedback. 227 Each trial consisted of three consecutive 1-second segments of white noise, one of which contained AM. 228 Participants were instructed to identify which stimulus contained AM and modulation depth was 229 adaptively varied according to a 2 down, 1-up procedure with 2 dB steps. AM stimuli were level-matched to non-modulated noise segments via root mean square matching. The task terminated after nine reversals, 230 231 and the resulting thresholds were the average of the last six.

The primary purpose of the AM Change task was to obtain evoked responses to changes in AM rate (i.e., the ACC and P300), but it also yielded behavioral measurements regarding the detection of changes in AM rate. The task involved listening to continuous white noise that contained AM. The parameters of these stimuli were identical to the AM Threshold task, except that the noise was delivered continuously with a 100% modulation depth. Alternations between the two AM rates (4 and 40 Hz)

occurred at random intervals between 2 and 3 seconds. Participants were asked to listen continuously for
these changes and press a response button if they detected one. Stimuli for both AM tasks were presented
via ER-3 insert earphones, to the right ear only at 72 dBA for the AM Threshold task and 70 dBA for the
AM Change task. All tasks were carried out with participants seated in a Faraday-shielded double-walled
sound booth.

#### 242 Electroencephalography

The EEG data was collected continuously during the AM Change task using a 64-channel actiCHamp system (Brain Products, GmbH, Inc., Munich, Germany). Electrodes were mounted in an elasticized cap with an equidistant layout arranged around a vertex sensor located at Cz of the 10-20 system. Some participants were additionally fitted with single electrodes below the right eye, on each mastoid, and on the tip of the nose (Nz). The EEG data was collected at 2000 Hz and stored for offline analysis. Some participant data was collected with Cz as the online reference, while others were referenced to Nz.

250 Data Analysis

#### 251 AM Change Task Performance

Responses on the AM Change task were deemed correct if they occurred between 100 and 2135 ms from change onset. These limits represented the approximate minimum response time (RT) for voluntary responses to auditory stimuli (Pain & Hibbs, 2007; Thompson et al., 1992) and the threshold for extreme outlier RTs for LiD subjects on this task when all responses were accepted. Accurate RT information could not be obtained for 17 participants; thus, they were excluded from all analyses of behavioral performance on the AM Change task.

258 Evoked Responses

Analyses of the EEG data were carried out using Matlab R2018b (Mathworks, Inc.), via a
combination of custom scripts, EEGLAB v13.6.5b (Delorme & Makeig, 2004), and ERPLAB v8.0

261 (Lopez-Calderon & Luck, 2014). The data was visually inspected for bad channels and segments of data 262 that violated the assumption of stationarity for decomposition via independent component analysis (ICA) with respect to either amplitude (> 100  $\mu$ V) or frequency (e.g., sporadic muscle artifacts). Such segments 263 264 and channels were rejected prior to ICA decomposition. Independent components were extracted using 265 Infomax ICA (as implemented in EEGLAB) on data that was re-referenced to the average reference and band-pass filtered between 2 and 30 Hz using a 2<sup>nd</sup> order Butterworth filter applied in the forward and 266 267 backward directions (Klug & Gramann, 2021; Winkler et al., 2015). These components were used to correct continuous data which was re-referenced to the average reference (thus eliminating any 268 differences due to online reference) and filtered using 2<sup>nd</sup> order Butterworth filters using the following 269 bandpass settings for each evoked response: 0.1 - 30 Hz for cortical ERPs, 0.5 - 20 Hz for the 4 Hz 270 271 ASSR, and 20 - 60 Hz for the 40 Hz ASSR. Only components that were deemed to reflect ocular or 272 cardiac sources were removed. Bad electrodes were then interpolated when possible. 273 ASSR. To compute the ASSR, data from homogeneous periods of AM stimulation was segmented into 1-274 second epochs and any epochs whose maximum absolute voltage was in the top 15% of all values were automatically identified and rejected from further analyses. The ASSR was quantified using SNR, a 275 276 measure of ASSR signal (s) amplitude versus other neural "noise" (n), and phase coherence, which 277 indexes the replicability of the response latency across epochs, in sweeps that consisted of sixteen 1.024second epochs according to the methods of Picton and colleagues (2001) using normal (i.e., non-278 279 weighted) averaging. The power (P) of the neural background noise (n) was estimated from the 60 neighboring frequency bins on either side of the response frequency bins and the SNR of the ASSR was 280 281 calculated as:

response SNR = 
$$10\log_{10}\left(\frac{P(s+n)}{P(n)}\right)$$

The significance of the ASSR at each electrode was determined using an *F*-statistic derived by assessing this SNR against the *F* distribution with 2 and 240 degrees of freedom (Picton et al., 2001). This procedure yields significance with an SNR of 4.8 dB. All negative SNRs were changed to a baseline of 0 dB. Phase coherence is a value between 0 and 1, with larger values corresponding to a lower probability
that the phase of the response is changing randomly between epochs (Picton et al., 2001), and was
calculated as:

289 
$$R = \frac{1}{N} \sqrt{\left(\sum_{i=1}^{N} \cos\theta_i\right)^2 + \left(\sum_{i=1}^{N} \sin\theta_i\right)^2}$$

290 To provide balanced comparisons between participants, all metrics were reported from the 291 average corresponding to the maximum number of sweeps that was available across all participants (22 292 sweeps). This is well within the range of sweeps used for measurement of the ASSR in pediatric samples, which varies considerably between studies (e.g., De Vos et al., 2020; Swanepoel et al., 2004; Vanvooren 293 294 et al., 2014, 2015). Significant ASSRs were observed at both frequencies (4 and 40 Hz) for all 295 participants, across many electrodes (4 Hz M = 28.0 electrodes, SD = 7.7; 40 Hz M = 35.8 electrodes, SD= 3.8). Similarly to other research examining the ASSR and its lateralization in pediatric samples, the 296 ASSR was quantified separately over the left and right hemispheres in a subset of parieto-occipital 297 electrodes (Left hemisphere: E6, E7, E15, E16, E17, E18, E20, Right hemisphere: E24, E25, E33, E34, 298 299 E36, E37, E39), and left-handed participants were excluded (De Vos et al., 2020; Vanvooren et al., 2014, 300 2015). Handedness was determined based on caregiver report.

301 Cortical event-related potentials (ERPs). For the analyses of the ACC, a late potential following 302 the ACC (called the LP), and P300, the continuous data was segmented into 900 ms epochs extending 303 from 100 ms pre-AM rate change to 800 ms post-AM rate change for target events (referred to as "Change" epochs) and encompassing 900 ms of homogeneous AM for epochs containing no target event 304 (referred to as "No Change" epochs). Though the ACC correlates well with perceptual discrimination 305 306 thresholds, it does not require attention and is commonly measured under conditions of passive 307 stimulation (e.g., Han & Dimitrijevic, 2015, 2020; He et al., 2012; Martin & Boothroyd, 2000; Uhler et al., 2018). Thus, it was computed using all target epochs, regardless of whether the target was accurately 308 309 detected. Artifact-contaminated epochs were automatically identified using an absolute voltage threshold 310 of +/- 75  $\mu$ V and a peak-to-peak voltage threshold of 100  $\mu$ V. Some rare participants (3 TD and 1 LiD 311 subject for the ACC, and 1 LiD subject for the P300) demonstrated high amplitude alpha that prevented 312 the use of these thresholds. In these cases, a more liberal absolute voltage threshold of +/- 95  $\mu$ V was 313 applied, with no peak-to-peak threshold.

314 The N1 of the ACC was measured in difference waves computed by subtracting the averaged no 315 change waveform from the target waveform, regardless of AM rate or direction of change. The amplitude 316 of N1 was measured at the frontocentral midline site anterior to Cz (electrode e2) as a mean amplitude in 317 the +/- 20 ms window surrounding its visually identified peak in the grand average waveforms for target 318 epochs. The peak was identified separately for the LiD and TD groups. Owing to the active nature of the task, the P2 of the ACC was not discernable as a separate peak from P300, which itself was complex and 319 320 multi-peaked. A LP, likely reflecting contributions from both P2 and P300, was measured in the same 321 waveforms as the ACC as an area under the curve at the centroparietal midline site directly posterior to 322 Cz (e35) for all positive peaks starting at its visually identified onset in the grand difference waveforms. 323 As with the N1, the latency for the onset of the LP was identified separately for the LiD and TD groups. 324 Unlike the ACC, the P300 is only observed when selective attention is actively directed towards 325 the task stimuli. As outlined by Duncan and colleagues (2009) in their formative review on best practices 326 in the measurement of cognitive ERPs, the P300 should be measured from an average of at least 36 327 correctly-detected targets. That approach was used here. Similarly to the LP, P300 was measured as an 328 area under the curve directly posterior to Cz (e35) for all positive peaks starting at its visually identified 329 onset in the grand difference waveforms, and its onset was evaluated separately for the LiD and TD 330 groups. Data quality metrics including the number of epochs accepted for averaging, the ERP measurement window, and the number of ICA components rejected per participant are summarized in 331 332 Supplementary Table 1.

#### 333 Statistical Analyses

Correlational analyses were carried out to (1) explore the effect of age on AM and SiN
perception, (2) examine the relationships between measures of AM and SiN perception, and (3) quantify

336 the relationships between behavioral and evoked response measures of AM perception. Since study 337 procedures were completed at different times for different participants, the analysis of age required the exclusion of any participants whose age differed substantially (> 3 months) between any two variables of 338 interest. All correlations were computed as Spearman rank order coefficients across all participants, 339 340 regardless of group. This approach maximized statistical power and permitted the examination of these relationships across a broader range of auditory skills than would be available in a purely TD population. 341 342 Secondary correlations were also performed within each group, but since these analyses were underpowered, these were not interpreted for their statistical significance. Rather, they served as effect size 343 344 estimates to help interpret the magnitude of the observed relationships as a function of LiD. To examine the basis of LiD, behavioral and evoked response measures of AM perception were 345 compared between children with LiD and their TD peers using age-matched groups. Since EEG data 346 347 collection did not occur for all participants, and technical challenges led to some loss of some response 348 data, the sample sizes for these comparisons differed. Behavioral performance and cortical ERPs from the 349 AM Change task were compared between the two groups via *t*-tests when the assumption of normality 350 was met and Wilcoxon rank-sum tests when it was not. Effect sizes were computed using Cohen's d. 351 Analyses for the ASSR and AM Thresholds were carried out via mixed-design ANOVAs. For the ASSR, 352 two three-way ANOVAs were performed, one for SNR and one for phase coherence, both including group, hemisphere, and modulation rate (4 vs. 40 Hz) as factors. Effect sizes for these ANOVAs were 353 reported as generalized eta squared ( $\eta^2_G$ , Olejnik & Algina, 2003). For the AM thresholds, which tended 354 not to be normally distributed, a nonparametric (aligned ranks) ANOVA was carried out with the factors 355 group and modulation rate. Effect sizes were reported as partial eta squared ( $\eta_p^2$ , (Cohen, 1973). Posthoc 356 analyses to explore interactions following this ANOVA were carried out using Wilcoxon rank sum tests 357 358 with Holm-Bonferroni correction.

To counteract alpha inflation without being overly conservative, all correlational and paired contrast *p*-values were adjusted using Bonferroni correction in a familywise manner (Rubin, 2017). These families were constructed based on the number of metrics that were derived from the same test or based 362 on known correlations between independent tests. Thus, for tests involving any of the three measures 363 derived from the LiSN-S, *p*-values were corrected for a family size of three. Similarly, for tests using the CRM-based task, which yielded two measures, *p*-values were corrected for two comparisons. Since 364 accuracies and RTs tend to correlate with one another (Draheim et al., 2021), any tests involving them 365 366 were also corrected for two comparisons. For the ASSR, since both SNR and phase coherence were 367 measured, tests involving them were corrected for a family size of two. Finally, since there was an 368 overlap in the data used for the LP and P300, tests involving them were corrected for two comparisons. In 369 cases where more than one family was involved in a statistical test, these correction factors were 370 multiplied. Thus, for example, when correlations were computed between measures from the LiSN-S (family size = 3) and accuracy on the AM change task (family size = 2), the correction factor was six. 371

## 372 **Results**

### 373 Relationships Between Measures of AM and SiN Perception

Correlations between age and all variables of interest are summarized in Table 2. These tended to 374 be low and very few variables exhibited significant relationships. Among the behavioral measures of AM 375 perception, only performance on the AM Threshold task at 4 Hz correlated significantly with age, and 376 indicated that older children had lower (i.e., better) thresholds. The observation of similar effect sizes 377 within each of the groups suggests that this relationship is not affected by LiD. While age had no 378 379 significant relationship with accuracy on the AM Change task in the across-group analysis, it remains 380 possible that accuracy increased with age for children with LiD given the medium effect size that was observed in this group,  $r_s(12) = 0.60$ , but not their TD peers,  $r_s(20) = 0.07$ . Among the SiN tasks, only the 381 Separated Talker condition of the CRM-based task had a significant relationship with age. These 382 correlations indicated that older children performed better (i.e., had lower TMRs) in the Separated Talker 383 condition of the CRM-based task than younger children. This effect may have been stronger in TD 384 children,  $r_s(43) = -0.55$ , than in those with LiD,  $r_s(37) = -0.20$ . Scatterplots illustrating correlations 385

between behavioral metrics of AM perception or SiN and age are shown in panel A of Figure 2 for allrelationships that reached significance across the two groups.

Among the evoked responses, only the amplitude of the N1 exhibited a significant correlation with age, with older children showing larger (i.e., more negative) N1 responses. This effect was similar across the two groups [LiD  $r_s(18) = -0.29$ , TD  $r_s(30) = -0.31$ ], and is illustrated in panel A of Figure 3. Unlike N1, there was no significant effect of age on the areas of the LP or P300 across the two groups, though the coefficients obtained separately for the groups suggest that the P300 may vary with age for children with LiD,  $r_s(9) = -0.52$ .

The relationships between measures from the AM perception tasks and performance on the LiSN-S are summarized in Table 3. Very few significant correlations were observed with the LiSN-S.

396 Specifically, 4 Hz AM thresholds and the area of the LP correlated significantly with the Low Cue

397 condition of the LiSN-S. These correlations indicated that children with lower (poorer) scores in the Low

398 Cue condition of the LiSN-S had higher (poorer) thresholds for detecting 4 Hz AM and smaller LPs.

399 Scatterplots illustrating these relationships are shown in panel B of Figures 2 and 3, respectively. Within-

400 group correlations suggest that the relationship between the 4 Hz AM threshold and performance in the

401 Low Cue condition of the LiSN-S might have been driven primarily by the TD group,  $r_s(44) = -0.27$ , and

402 not the LiD group,  $r_s(40) = -0.05$ . By contrast, the relationship between the area of the LP and

403 performance in this condition appears to have been similar for both groups [TD  $r_s(30) = 0.22$ , LiD  $r_s(18)$ 

404 = 0.28]. One within-group correlation yielded a medium effect size,  $r_s(18)$ = -0.57, for the relationship 405 between Spatial Advantage scores on the LiSN-S and the amplitude of the N1 component, for the LiD

406 group only. This effect did not reach significance in the across-group analysis.

407 Correlations between measures from the AM perception tasks and performance on the CRM408 based task are summarized in Table 4. Significant relationships were only observed for the Separated
409 Talker condition. Similarly to the Low Cue condition of the LiSN-S, this condition demonstrated a
410 significant relationship with AM thresholds, but both modulation rates were implicated. These
411 correlations, illustrated in panel B of Figure 2, indicated that children who had higher (poorer) thresholds

412 for detecting AM also exhibited higher TMRs (poorer performance) on the CRM-based task. Since scores in the Separated Talker condition of the CRM-based task and AM Thresholds at 4 Hz were both 413 correlated with age, a second correlation was computed using the residuals of linear models with age as 414 the predictor. These factors continued to be significantly correlated following the removal of age-related 415 416 variance,  $r_s(84) = 0.32$ , adj. p = .007, indicating that the relationship between the unadjusted Separated 417 Talker condition of the CRM-based task and 4 Hz AM Thresholds was largely not attributable to 418 maturation. Within-group effect sizes suggested that the across-group correlation between the Separated 419 Talker condition and 4 Hz AM thresholds may have been driven primarily by the TD group,  $r_s(43) = 0.53$ , rather than the LiD group,  $r_s(37) = 0.10$ . By contrast, there was little difference in effect size between the 420 groups [TD  $r_s(43) = 0.34$ , LiD group  $r_s(37) = 0.23$ ] for the relationship with 40 Hz thresholds. 421 422 The across-group analysis also yielded significant relationships between performance in the 423 Separated Talker condition of the CRM-based task and two evoked responses: the amplitude of the N1 424 and the area of the LP. These correlations indicated that children with larger LPs and N1 amplitudes had lower (better) TMRs. The correlation involving the area of the LP may have been driven by the TD group 425  $[r_{3}(29) = -0.29]$  somewhat more than the LiD group  $[r_{3}(18) = -0.11]$ . Due to their common relationships 426 427 with age, a second correlation was computed for the relationship between the Separated Talker TMR and 428 the amplitude of the N1 following the removal of variance associated with age. In this correlation, the relationship between N1 amplitude and Separated Talker TMRs lost significance,  $r_s(47) = 0.32$ , adj. p =429 430 .056. The LiD group also exhibited a moderate effect size  $[r_s(14) = 0.57]$  for a relationship between the 431 SNR of the 40 Hz ASSR and performance in the Separated Talker condition of the CRM-based task, but 432 this correlation did not reach significance in the across-group analysis. A scatterplot illustrating the significant relationship between the area of the LP and TMRs in the Separated Talker condition of the 433 434 CRM-based task is shown in Panel B of Figure 3.

## 435 Behavioral Versus Evoked Response Measures of AM Perception

The relationships between behavioral and evoked response measures of AM perception are
summarized in Tables 5 and 6. Several reached statistical significance, the majority involving the 40 Hz

438 ASSR. Higher SNRs and phase coherence for the 40 Hz ASSR were associated with lower (better) 4 Hz 439 AM thresholds, and both of these relationships tended to hold true when quantified within each of the groups. Both the SNR and phase coherence of the 40 Hz ASSR also exhibited significant correlations 440 with performance on the AM change task, such that children with larger and more synchronized 40 Hz 441 442 ASSRs achieved higher accuracy and responded faster on the AM Change task. These relationships are illustrated in Panel A of Figure 4. While the relationship between the 40 Hz ASSR and RT was fairly 443 stable across groups, with respect to both SNR [TD  $r_s(17) = -0.38$ , LiD  $r_s(9) = -0.43$ ] and phase coherence 444 [TD  $r_s(17) = -0.35$ , LiD  $r_s(9) = -0.37$ ], this was not the case for accuracy. Instead, correlations with 445 accuracy tended to be driven by the TD group for both the SNR [TD  $r_s(17) = 0.49$ , LiD  $r_s(9) = 0.18$ ] and 446 phase coherence [TD  $r_s(17) = 0.60$ , LiD  $r_s(9) = 0.10$ ] of the 40 Hz ASSR. As illustrated in Panel B of 447 Figure 3, the area of the LP also correlated significantly with RT on the AM Change task, indicating that 448 449 larger LPs were related to shorter RTs. Within-group correlations demonstrated that this relationship was present for both TD children  $[r_s(19) = -0.51]$  and those with LiD  $[r_s(12) = -0.47]$ . The LiD group also 450 exhibited a medium-large effect size for a relationship between the area of the P300 and accuracy  $[r_s(9) =$ 451 -0.73], despite the lack of a significant correlation in the across-group analysis. 452

#### 453 Group Differences

Table 7 summarizes the age-matched TD and LiD groups with respect to all measures obtained 454 from the AM perception tasks. The AM Threshold ANOVA yielded a significant main effect of 455 modulation rate F(1,80) = 149.35, p < .001,  $\eta_p^2 = 0.65$ , in which thresholds at the 40 Hz modulation rate 456 were lower (better) than at 4 Hz. There was also a significant main effect of group, in which thresholds 457 for the TD group were lower than those for the LiD group, F(1,80) = 5.46, p = .022,  $\eta_p^2 = 0.06$ . These 458 main effects were qualified by an interaction between modulation rate and group, F(1,80) = 6.56, p =459 .012,  $n_n^2 = 0.08$ . Posthoc analyses demonstrated that 40 Hz AM thresholds were better than 4 Hz 460 thresholds within both groups (p < .001 in both cases). They also identified that the TD group had 461 superior thresholds to the LiD group for 4 Hz (p = .012), but not 40 Hz AM (p = .489). Group differences 462 463 were additionally apparent on the AM Change task, with the TD group exhibiting significantly better

464 accuracy in the detection of alternations between 4 and 40 Hz AM, t(22) = 4.35, adj. p < .001, d = 1.78.

465 There was no significant difference in RTs despite a large effect size for this contrast, t(22) = 2.06, adj. p

466 = .103, d = 0.84. Performance on both of these tasks is illustrated in panel C of Figure 2.

467 The ANOVA for the SNR of the ASSR yielded a significant main effect of frequency, F(1,26) =468 36.05, p < .001,  $\eta^2_G = 0.28$ , in which the SNR tended to be higher for the 40 Hz than the 4 Hz ASSR.

469 Neither hemisphere, F(1,26) = 1.80, p = .192,  $\eta^2_G = 0.01$ , nor group, F(1,26) = 0.63, p = .434,  $\eta^2_G = 0.01$ ,

471 any other factor, namely frequency, F(1,26) = 0.09, p = .763,  $\eta^2_G < 0.01$ , hemisphere, F(1,26) = 2.19, p =

demonstrated significant main effects. Similarly, there were no significant interactions between group and

472 .151,  $\eta_G^2 = 0.01$ , or the three-way interaction between all of these factors, F(1,26) = 3.22, p = .084,  $\eta_G^2 = .084$ 

473 0.01. There was also no significant interaction between frequency and hemisphere, F(1,26) = 0.30, p =

474 .587,  $\eta^2_G < 0.01$ .

470

475 The results of the ANOVA for phase coherence closely mirrored the ANOVA for SNR. The only significant main effect was that observed for frequency, F(1,26) = 31.90, p < .001,  $\eta^2_G = 0.26$ , with higher 476 phase coherence for the 40 Hz than the 4 Hz ASSR. There was no significant main effect of group, 477 F(1,26) = 0.428, p = .519,  $\eta^2_G = 0.01$ , nor was there a significant interaction between group and 478 479 frequency, F(1,26) = 0.01, p = .923,  $\eta^2_G < 0.00$ , or a significant three-way interaction between group, frequency, and hemisphere, F(1,26) = 3.61, p = .069,  $\eta^2_G = 0.01$ . There was also no significant interaction 480 between frequency and hemisphere, F(1,26) = 0.133, p = .718,  $\eta^2_G < 0.00$ . However, the interaction 481 between hemisphere and group approached significance for phase coherence, F(1,26) = 4.20, p = .051, 482  $\eta^2_G = 0.02$ . SNR and phase coherence metrics for the ASSR are illustrated as a function of frequency, 483 484 group, and hemisphere in panel B of Figure 4.

Grand average waveforms for the ACC and P300 are shown in Figures 5 and 6, respectively. The N1 component of the ACC (upwards black arrow at E2 in Figure 5) was extremely small and did not cross baseline in the grand average. This tendency is also clearly reflected in the N1 amplitudes reported in Table 7. By contrast, the LP (downwards gray arrow at E23 in Figure 5) was visible for both groups and appeared markedly larger for the TD group. The P300 (indicated by a downwards gray arrow at E23 in Figure 6), which occurred in the same latency window as the LP, was similar for the two groups. There were no significant group differences in the amplitude of the N1, t(34) = 1.93, p = .062, d = 0.64, or the area of the P300, t(16) = 0.846, adj. p = .820, d = 0.40. The area of the LP, however, differed considerably between the two groups, t(19.83) = 3.01, adj. p = .014, d = 1.00. Descriptive statistics for these measures, reported by group, are summarized in Table 7.

#### 495 **Discussion**

Difficulty understanding SiN is a prominent feature of LiD. There is ample theoretical and
experimental justification for describing AM perception as an important auditory skill for listening under
these conditions (Christiansen et al., 2013; Festen & Plomp, 1990; Gnansia et al., 2008; Horton et al.,
2013), yet few studies have investigated the relationship between AM perception and SiN task
performance in children. For this reason, the first aim of this study was to provide such evidence, with
maturational effects taken into account.

502 Maturation is an important consideration in the study of childhood auditory perceptual deficits, since there is considerable development of these skills into adolescence (Lopez-Poveda, 2014; Moore et 503 504 al., 2008). In the present analysis, age exhibited significant correlations with performance in the Separated 505 Talker condition of the CRM-based task, as well as 4 Hz AM thresholds, and the amplitude of the N1 506 component of the ACC, with older children demonstrating better thresholds and larger N1 responses. All of these effects are to be expected. Age-related increases in the amplitude of the N1 of the ACC have 507 508 previously been observed (Martin et al., 2010) and both SiN performance and AM thresholds are known to improve over childhood (Cabrera et al., 2022; Hall & Grose, 1994; Talarico et al., 2007). The lack of 509 such effects on the LiSN-S can be attributed to the fact that standard scores on this test are age-adjusted. 510 Overall, the correlations between measures of AM perception and SiN performance tended to be 511 512 small. These relationships were fairly distributed across a range of metrics. Significant correlations were 513 obtained for both the 4 and 40 Hz modulation thresholds with the Separated Talker condition of the CRM-based task. AM thresholds at 4 Hz were also significantly correlated with performance in the Low 514

515 Cue condition of the LiSN-S. In all cases, better AM task performance was associated with better SiN 516 perception, providing some support for the notion that AM perception is important for SiN performance 517 in children. However, the within-group analyses suggested some possible disparities in these relationships 518 as a function of LiD. Specifically, the relationship between 4 Hz AM thresholds and performance in both 519 the LiSN-S Low Cue condition and the Separated Talker condition of the CRM-based task may primarily 520 have been driven by the TD group.

521 In 2020, Lotfi and colleagues also measured modulation thresholds (using spectrotemporal 522 modulation) and SiN performance in children (separately for those with APD and their TD peers). In 523 contrast to the present results, they found strong correlations across all temporal modulation rates and 524 spectral modulation densities, in both groups. Any effort to compare the findings of these two studies 525 must consider the differences in the SiN tasks that were used. Lotfi and colleagues employed very simple 526 target speech (consonant vowel syllables and words) in the presence of maskers that provided limited 527 informational masking (nonsense syllables and six-talker babble). By contrast, the present study 528 employed single-talker sentences as both the target and masker. This is the type of task that children with 529 LiD reportedly struggle with in school and at home – following meaningful verbal information from 530 teachers and parents in the presence of other talkers. Some of the SiN measures used in the present study (i.e., the Talker and Spatial Advantage scores of the LiSN-S) were also subtractive scores, designed to 531 532 isolate specific auditory skills (Cameron & Dillon, 2007). Given the fundamental differences in the tasks 533 used for these studies, it is unsurprising that they yielded different results. Nevertheless, the present work 534 supports and extends the argument that modulation sensitivity is related to SiN task performance in 535 children.

536 One evoked response to the AM Change task, the LP, also correlated significantly with 537 performance in the Separated Talker condition of the CRM-based task, with smaller LPs associated with 538 poorer SiN performance. Similarly to the correlations involving 4 Hz AM thresholds, this effect may have 539 primarily been driven by the TD group. The LP was measured in the same waveform as the ACC, which 540 included all targets, regardless of whether they were accurately detected. As a result, smaller LPs could reflect genuine differences in the amplitude of the P300, a lower contribution of P300-containing epochsto the average due to inattention, or a combination of these factors.

The P300 is generally interpreted to reflect the updating of working memory following target 543 544 detection (Polich, 2007), and in healthy individuals, modulations of its amplitude are related to the ease of 545 perceptual discriminations (Polich, 1987) as well as cognitive abilities like intelligence (Amin et al., 2015) and working memory capacity (Daffner et al., 2011). While it is impossible to disentangle true 546 547 changes in the size of the P300 from inattention in the LP, it is cautiously worth noting that the 548 correlation between SiN performance and the area of the P300 (measured using only correctly-detected targets) did not reach significance. This might suggest that inattention is the source of smaller LPs for 549 those who performed more poorly on the SiN task. 550

It may be worth noting that within-group analyses yielded medium effect sizes for some relationships that did not reach statistical significance in the across-group analysis. Specifically, the LiD group demonstrated a relationship between the amplitude of the N1 and Spatial Advantage scores on the LiSN-S, as well as between the SNR of the 40 Hz ASSR and performance in the Separated Talker condition of the CRM-based task. While these might be interpreted as evidence for sensory mechanisms for some SiN deficits, the small sample size involved here imposes a need for follow-up before drawing any such conclusions.

558 Another aim of the present study was to quantify the relationships between behavioral measures and evoked responses related to AM perception in children. This goal was important given that the 559 inferential significance of the ACC N1 component with respect to AM sensitivity has only been 560 561 established in adults (Han & Dimitrijevic, 2015, 2020). The observed correlations between behavioral measures and evoked responses tended to be modest. However, like the SiN correlations, these results 562 again highlighted the importance of the LP, which correlated significantly with RT on the AM Change 563 564 task, such that participants with larger LPs responded more quickly on the task. As with the SiN analysis, 565 given the variety of factors that contribute to this response, it is difficult to make exact attributions

regarding whether this reflects P300 modulation or inattention, though no such correlation was observedfor the area of the P300.

568 Unlike the SiN analysis, the correlations between behavioral and evoked response measures of 569 AM perception highlighted the importance of the 40 Hz ASSR. The SNR and phase coherence of the 40 570 Hz ASSR were significantly correlated with 4 Hz AM thresholds, and performance on the AM Change 571 task (both accuracy and RT). Specifically, higher SNRs and phase coherence for the 40 Hz ASSR were 572 associated with lower 4 Hz AM thresholds, higher accuracy on the AM Change task, and faster RTs. 573 Although this pattern of results supports the notion that the ASSR is related to AM perception, the

relative importance the 40 Hz ASSR is surprising.

574

Although the 40 Hz ASSR is an extremely robust response in adults, this is not always the case in 575 pediatric populations. Infants between 3 weeks and 28 months of age show weak 40 Hz ASSRs (Stapells 576 577 et al., 1988), and the response improves through adolescence (Cho et al., 2015; Rojas et al., 2006). This 578 developmental pattern invites the possibility that, in children, the 40 Hz ASSR reflects both synchronization to the stimulus (i.e., sensory processing of AM) and maturation. Thus, it may be that 579 580 participants with more mature 40 Hz ASSRs tended to perform better on behavioral metrics of AM 581 perception. This interpretation is complicated by the fact that the correlation between age and these 582 measures of the 40 Hz ASSR did not reach statistical significance in the across-group analysis. This 583 failure may reflect the low power afforded by our sample. However, it may be worth noting that the effect 584 of age on the 40 Hz ASSR appeared to be more robust for children in the LiD group [SNR  $r_s(14) = 0.36$ , phase coherence  $r_s(14) = 0.31$  than those in the TD group [SNR  $r_s(26) = 0.24$ , phase coherence  $r_s(26) =$ 585 586 0.15]. Thus, it remains possible that this developmental process was more evident for children with LiD than their TD peers. This may also explain the observed relationship between the SNR of the 40 Hz 587 588 ASSR and performance in the Separated Talker condition of the CRM-based task for children in the LiD 589 group, since this SiN measure also varied with age. Regardless of the underlying reason for the relative 590 importance of the 40 Hz ASSR in the present results, it is clear that, in children, there are no straightforward relationships between ASSRs to AM at 100% modulation depths and AM detection 591

thresholds. They also do not support using the N1 of the ACC as an objective index of AM detection
thresholds in children, though such a role was suggested based on measurements in adults (Han &
Dimitrijevic, 2015, 2020).

The within-group analysis yielded a medium-large effect size for a relationship between the area 595 596 of the P300 and accuracy on the AM Change task, in which smaller P300s were associated with higher 597 accuracy. This observation is inconsistent with the typical finding that the P300 is larger for easier 598 discriminations (Polich, 1987). However, in addition to task difficulty, the amplitude of the P300 is 599 modulated by the target-to-target interval, with less frequent targets evoking larger P300s (Croft et al., 600 2003; Ladish & Polich, 1989; Polich, 1987). Since accuracies tended to be poor for children in the LiD group, many of the target events went undetected, perhaps rendering them subjectively less frequent. 601 Given the very small sample of children with LiD who could be used for the P300 analysis (N = 9), these 602 603 results must be interpreted with extreme caution.

604 The ultimate goal of this analysis was to explore the basis of AM perception deficits in LiD using 605 behavioral performance and evoked responses. Unlike the correlational analyses, these comparisons were 606 performed using age-matched groups. The LiD group demonstrated poorer performance on AM 607 perception tasks, including higher 4 Hz AM detection thresholds and lower accuracy on the AM Change 608 task. The observation of deficits with 4 Hz but not 40 Hz AM may be surprising, since some studies have 609 demonstrated lower sensitivity to higher rate AMs in both children and adults (Hall & Grose, 1994). 610 However, the present data showed the opposite effect, with lower thresholds for both groups at 40 Hz 611 than 4 Hz. Since the durations of the stimuli (1 second) were held constant across these two rates, 612 participants had fewer cycles of the 4 Hz AM across which to detect modulation. Some lines of research suggest that children perform poorly with stimuli that present few cycles of AM due to inefficiencies in 613 614 echoic memory (Cabrera et al., 2022). Modulations at this rate also have greater theoretical relevance for 615 speech perception, since speech envelopes tend to modulate between 2 and 10 Hz (Ding et al., 2017). 616 Thus, AM detection thresholds at 4 Hz may have special significance for LiD. Indeed, the within-group effect sizes suggested that the relationship between 4 Hz AM thresholds and SiN performance was 617

stronger in TD children than those with LiD, raising the possibility that poor 4 Hz AM perception in the
LiD group might limit their ability to use such modulations for SiN perception. This finding might
implicate a mechanism for SiN deficits in LiD that involves impaired echoic memory.

Evoked responses were also used to provide insight into the auditory and cognitive processes 621 622 underlying AM perception deficits with LiD. Specifically, the ASSR directly reflects the envelope phase-623 locked activity of sensory neurons in the brainstem and auditory cortex (Herdman et al., 2002). Some 624 research suggests the existence of additional cortical non-auditory sources, potentially supporting 625 multisensory integration (Farahani et al., 2021). No significant differences were observed with respect to the ASSR at either 4 or 40 Hz between children with and without LiD. Indeed, the only significant effect 626 with respect to either SNR or phase coherence was a main effect of frequency, in which 40 Hz ASSRs 627 628 exhibited higher SNRs and phase coherence than 4 Hz responses. While the relative SNR of the ASSR 629 has not been systematically explored across AM rates in children, one study using transient tonal stimuli 630 in children and adults demonstrated challenges with ASSR measurement at lower rates of stimulation due 631 to a shift of power towards the harmonics of the stimulation rate, and increased noise (Tlumak et al., 632 2012). The present results are consistent with that finding.

633 On the basis of theories that propose hemispheric specializations for modulation processing 634 (Poeppel, 2003), one line of research has explored the link between lateralization of the ASSR and 635 dyslexia in children (Vanvooren et al., 2014). Though they found no differences in lateralization, dyslexia 636 shares many symptoms with APD and auditory processing deficits may contribute to its pathophysiology 637 (Dawes & Bishop, 2009, 2010). Thus, the possibility of altered lateralization was considered in the 638 present study by including hemisphere as a factor in both ANOVAs for the ASSR. There was little evidence for any differences in lateralization between children who have LiD and their TD peers, except a 639 near-significant interaction between hemisphere and group for phase coherence of the ASSR, with a very 640 small effect size ( $\eta^2_G = 0.02$ ). As such, like dyslexia, abnormal hemispheric specializations for AM 641 642 processing do not appear to be a contributing factor in LiD.

643 The AM Change task also permitted the measurement of the ACC N1 component, the P300, and a 644 LP in the same latency range as the P300. As previously reviewed, the ACC does not require attention to 645 be evoked and corresponds well with behaviorally-measured discrimination thresholds (He et al., 2012; 646 J.-R. Kim, 2015). However, despite considerable group differences in 4 Hz AM thresholds and accuracy 647 on the AM Change task, there were no group differences in N1 amplitude. This may be attributable to the 648 fact that this response was small and inconsistent in the present sample.

649 While the ASSR and ACC reflect sensory-perceptual operations, the P300 and LP index cognitive 650 stages of processing. Reductions in the amplitude of the P300 have been interpreted as evidence of altered 651 cognitive function in a wide range of clinical conditions (e.g., concussion, Petley et al., 2018; schizophrenia, Bramon, 2004; and dementia Hedges et al., 2016; among many others). However, this 652 response did not yield significant differences between the LiD and TD groups. By contrast, there were 653 654 large group differences in the size of the LP. This effect is readily evident in Figure 5 and Table 7. A large, multi-peaked, slow LP dominated the response to target stimuli at parietal sites from 200 ms 655 656 onwards for TD participants, yet only a small, transient LP with very little sustained activation was seen 657 for children with LiD. Statistically, the group difference in LP area had a large effect size (d = 1.00). As 658 with the previously-observed correlations between the area of the LP and measures of SiN and AM 659 perception, it is difficult to make a precise statement regarding the implications of this effect. The lack of 660 a group difference in the P300, however, might suggest that it reflects inattention. Regardless of its 661 specific meaning, the effect is undoubtedly cognitive in nature, and reflects the activity of brain regions that lie outside the CANS. 662

All tests of central auditory processing are influenced by cognition, and obtaining measures that are relatively free from such factors requires targeted strategies in test design (Moore & Dillon, 2018). It should not be surprising, therefore, that measures of AM perception might, at least in part, reflect cognitive factors. Perception and cognition also interact considerably, to the extent that impaired perceptual object formation could itself result in poorer selective attention (Shinn-Cunningham, 2008). In this way, any study that aims to identify the stage of processing at which perceptual deficits occur relies 669 on a somewhat artificial distinction. Nevertheless, evoked responses offer the opportunity for more 670 specificity in such inferences than behavior alone. The results of the present study confirm that AM perception is important for SiN performance in children, and that a pediatric population that is susceptible 671 to SiN perception deficits – children with LiD – is impaired with respect to this ability. Correlational 672 673 analyses raised the possibility that cortical development, as reflected by the 40 Hz ASSR, and echoic 674 memory may contribute to AM and SiN perception in this group. The evoked responses that characterized LiD, however, consisted only of a reduced cognitive response during AM change detection, which may 675 676 suggest a primarily cognitive origin for these deficits.

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# 684 Data Availability

685 Data will be made available on request to the authors.

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# 920 Figure Captions

- Figure 1. A summary of the study test battery and associated variables. Note that both evoked responsesand behavioral measures were obtained during the AM Change task.
- 923 Figure 2. A and B: Scatterplots illustrating the significant correlations between (A) age and behavioral
- 924 measures of AM and SiN perception, and (B) behavioral measures of AM perception and SiN
- performance, \*p < 0.05, \*\*p < 0.01. Note that correlations were computed across the two groups. C:
- 926 Group scores on behavioral measures of AM perception. Superior performance for the TD group was
- 927 evident for the 4 Hz AM threshold and accuracy on the AM Change task.
- **Figure 3.** Scatterplots for all significant correlations between cortical ERPs and (A) age as well as (B)
- 929 behavioral metrics of AM and SiN perception. Note that correlations were computed across the two

930 groups. \*p < 0.05, \*\*p < 0.01

- 931 Figure 4. A: Scatterplots illustrating all significant correlations between ASSR metrics and behavioral
- measures of AM perception, \*p < 0.05, \*\*p < 0.01. All ASSR metrics were measured across a pooled
- 933 group of parieto-occipital electrodes and correlations were computed across the two groups. **B:** ASSR
- 934 metrics as a function of group, frequency, and hemisphere. A significant effect was only observed for
- frequency, in which both SNR and phase coherence were higher at 40 Hz.
- **Figure 5.** Grand average ACC waveforms as a function of group (LiD = top, TD = bottom) for the target
- 937 (i.e., epochs containing an AM rate change; "Change" epochs), presented alongside the "No Change"
- 938 (i.e., homogeneous AM) epochs. Black and gray arrows mark the latencies and locations of measurement
- for the N1 and LP, respectively. While N1 did not differ between the groups, there was a notable
- 940 difference in the size of the LP. Note that the LP was quantified as an area under the curve, thus
- 941 differences in the positioning of these arrows do not reflect differences in the period that was used for its942 measurement.
- Figure 6. Grand average P300 waveforms as a function of group (LiD = top, TD = bottom) for correctlydetected target (i.e., "Change" epochs) vs. no change (i.e., "No Change," homogeneous AM) epochs. The

- 945 location of the P300, which appeared as a complex, sustained positivity, is indicated by a gray arrow.
- Like the LP, the P300 was measured as an area under the curve. No group differences were observed forthe P300.
- 948

# 949 Supplementary Materials

950 Supplementary Table 1. A summary of EEG denoising and ERP data quality metrics.